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INTELLECTUAL PROPERTY  
LAW INCLUDING PATENTS,  
TRADEMARKS AND COPYRIGHTS

TELEPHONE: (818) 885-1575  
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**AMENDMENT**

TO: USPTO/ Examiner S. Ali, Group 2127

FAX: (703) 872-9306

TEL: (703) 305-8106

FROM: Edmond A. DeFrank

DATE: July 6, 2004

RE: **AMENDMENT UNDER 37 CFR 1.111.**  
Serial No. 09/779,369  
Our Docket No. AUS9-2000-0535-US1  
Entitled: **METHOD AND SYSTEM FOR MANAGING LOCK  
CONTENTION IN A COMPUTER SYSTEM**  
Filed: February 8, 2001  
By: Hua et al.

Pages: 9 (NOT including cover sheet)

Please find attached an amendment under 37 CFR 1.111 for the above matter in response to the Office Action dated April 5, 2004. Also is attached is a Transmittal Letter.

Thank you.



Edmond A. DeFrank

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**JUL 06 2004**

**OFFICIAL**

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20145 Via Medici  
Northridge, CA 91326

## PATENT APPLICATION

ATTORNEY DOCKET NO. AUS9-2000-0535-US1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Hua et al.

Confirmation No.: 6031

Application No.: 09/779,369

Examiner: S. Ali

Filing Date: Feb. 8, 2001

Group Art Unit: 2127

Title: METHOD AND SYSTEM FOR MANAGING LOCK CONTENTION IN A COMPUTER  
SYSTEM

Mail Stop Amendment  
Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment ( ) Petition to extend time to respond  
( ) New fee as calculated below ( ) Supplemental Declaration  
(X) No additional fee  
( ) Other: \_\_\_\_\_ (fee \$ \_\_\_\_\_)

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	18	MINUS	20	= 0	X \$18	\$ 0
INDEP. CLAIMS	3	MINUS	3	= 0	X \$86	\$ 0
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$290	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$420.00	3RD MONTH \$950.00	4TH MONTH \$1480.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 09-0447. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 09-0447 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 09-0447 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Hua et al.

(X) hereby certify that this paper is being transmitted  
to the Patent and Trademark Office facsimile  
number (703) 872-9306 on July 6, 2004  
Number of pages: 9

By Edmond A. DeFrank

Typed Name: Edmond A. DeFrank

Edmond A. DeFrank

Signature: Edmond A. DeFrank

Attorney/Agent for Applicant(s)  
Reg. No. 37,814

Date: July 6, 2004

Rev 05/04 (TnAmdFax)

Telephone No.: (818) 885-1575

- Attach as First Page to Transmitted Papers -

Serial No.: 09/779,369  
Attorney Docket No.: AUS9-2000-0535-US1

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this paper and every paper referred to therein as being transmitted via facsimile to: (703) 872-9306 Commissioner for Patents, Arlington, VA 22202.

on July 6, 2004 (Date of Deposit) 9 (No. of pages)

Edmond A. DeFrank  
By

*Edmond A. DeFrank*  
Signature

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**JUL 06 2004**

**PATENT**

Attorney Docket No: AUS9-2000-0535-US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:  
Hua et al.

**OFFICIAL**

Serial No.: 09/779,369

Group Art Unit: 2127

Filed: February 8, 2001

Examiner: S. Ali

For: **METHOD AND SYSTEM FOR MANAGING LOCK  
CONTENTION IN A COMPUTER SYSTEM**

**AMENDMENT UNDER 37 CFR 1.111**

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated April 5, 2004, please enter the following amendments in the above-identified patent application.

**Amendments to the Claims** are reflected in the listing of the claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 6 of this paper.